# Wireless Communications Systems with Spatial Diversity: A Volumetric Approach 

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#### Abstract

This paper presents a new physical modelling approach for wireless systems with multiple antennas. The fundamental problem of modelling the communications channel if we are given an arbitrary spatial volume for transmitting, an arbitrary spatial volume for receiving, and a set of scattering bodies is studied. We show how to calculate the number of communication modes, both for direct (point-to-point) and for scattering environments. Our work explains the physical parameters which determine the channel model and its channel capacity.


## I. Introduction

Scattering has been shown to improve capacity for wireless communications. More specifically, the capacity of multiantenna (MIMO) systems with dense scattering is shown to grow proportionally with the minimum of the number of transmitters and receivers [1], [2]. This is the well-known linear growth property of MIMO systems. However, the channel is assumed to be an identically distributed matrix [1], [2], and the high capacity is proved without verification on the validity of the channel model.
Recently some work has focused on the modelling of MIMO channels. In [3] correlated "pin hole" channels were introduced and other authors [4] have discussed the effects of antenna placement. This paper attempts to provide a more realistic physical model for multi-antenna systems. We ask: how do we model the communications channel if we are given a spatial volume for transmitting, a spatial volume for receiving and a set of scattering bodies? In addressing this question, we determine the number of communications modes (or degrees of freedom) for radio communications between two volumes. The case without scattering bodies has already been studied in [5] for optical communications.

We will first summarize the work of [5] in section II for the two body case. We then extend [5] in II-C to provide a numerical solution for channel modelling with arbitrary transmit and receive volumes. Section III provides

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Fig. 1. Two volume arrangement for arbitrary volumes $V_{T}$ and $V_{R}$
a generalization of these results to include scattering bodies. Illustrative examples are used in section IV to compare direct transmission with transmission through a line-of-sight and non-line-of-sight scattering channel.

## II. Modelling for two volume communications

Consider wireless communications between two arbitrary volumes in free space as depicted in figure 1 , where $V_{T}$ is the transmitting body and $V_{R}$ is the receiving body. The centres of the two bodies are separated by a distance $r$. It is assumed that the dimensions of the two bodies are small compared to the distance $r$. We choose the coordinates $(x, y, z)$ such that the $z$-axis is along the distance $r$ and the origin is the centre of the transmitting body. For simplicity, we consider monochromatic signals.

It was shown in [5] that if $V_{T}$ and $V_{R}$ are hyperrectangles with sides parallel to the $(x, y, z)$ axes and lengths $2 \Delta x_{T}, 2 \Delta y_{T}, 2 \Delta z_{T}$ and $2 \Delta x_{R}, 2 \Delta y_{R}, 2 \Delta z_{R}$, there is a simple expression for the transfer function from $V_{T}$ to $V_{R}$. Indeed, the eigenfunctions for $V_{T}$ (and those for $V_{R}$ ) can be expressed in terms of a focusing function and a set of prolate spheroidal functions. These eigenfunctions form a set of complete, orthonormal functions. Further, the corresponding singular values are roughly constant up to a critical number $N_{c}$ beyond which they are negligible. $N_{c}$ is the number of communications modes between $V_{T}$ and $V_{R}$, given by

$$
\begin{equation*}
N_{c}=\frac{V_{R} V_{T}}{r^{2} \lambda^{2}\left(2 \Delta z_{T}\right)\left(2 \Delta z_{R}\right)} \tag{1}
\end{equation*}
$$

where (with slight abuse of notation) $V_{T}$ and $V_{R}$ are the volumes of the two bodies and $\lambda$ is the wavelength of the transmit signal. Consequently, the transfer function between $V_{T}$ and $V_{R}$ is given by a diagonal matrix of a finite dimension, with the diagonal elements being the non-negligible singular values.

## A. Transfer Function

Let $\psi\left(\mathbf{r}_{T}\right)$ represent the source function at a point $\mathbf{r}_{T} \in V_{T}$ and $\phi\left(\mathbf{r}_{R}\right)$ be the received electromagnetic field at a point $\mathbf{r}_{R} \in V_{R}$. Then $\phi\left(\mathbf{r}_{R}\right)$ is given by

$$
\begin{equation*}
\phi\left(\mathbf{r}_{R}\right)=\int_{V_{T}} G\left(\mathbf{r}_{R}, \mathbf{r}_{T}\right) \psi\left(\mathbf{r}_{T}\right) d^{3} \mathbf{r}_{T} \tag{2}
\end{equation*}
$$

where $G\left(\mathbf{r}_{R}, \mathbf{r}_{T}\right)$ is the (retarded) Green's function defined by

$$
\begin{equation*}
G\left(\mathbf{r}_{R}, \mathbf{r}_{T}\right)=\frac{\exp \left(-\iota k\left|\mathbf{r}_{R}-\mathbf{r}_{T}\right|\right)}{4 \pi\left|\mathbf{r}_{R}-\mathbf{r}_{T}\right|} \tag{3}
\end{equation*}
$$

where $\iota=\sqrt{-1}, k=2 \pi / \lambda$, and $\lambda$ is the signal wavelength.
In order to calculate connection strengths between $\psi\left(\mathbf{r}_{T}\right)$ and $\phi\left(\mathbf{r}_{R}\right)$ we require a set of basis functions. Define $\left\{\alpha_{T i}\left(\mathbf{r}_{T}\right), i=1, \cdots, N_{T}\right\}$ as a finite set of orthonormal functions in $V_{T}$. Likewise, define $\left\{\alpha_{R i}\left(\mathbf{r}_{R}\right), i=1, \cdots, N_{R}\right\}$ to be a finite orthonormal set of functions in $V_{R}$.

Given a transmitting function $\alpha_{T i}\left(\mathbf{r}_{T}\right)$, the received signal is given by application of (2):

$$
\begin{equation*}
\phi_{i}\left(\mathbf{r}_{R}\right)=\int_{V_{T}} G\left(\mathbf{r}_{R}, \mathbf{r}_{T}\right) \alpha_{T i}\left(\mathbf{r}_{T}\right) d^{3} \mathbf{r}_{T} \tag{4}
\end{equation*}
$$

We may then decompose $\phi_{i}\left(\mathbf{r}_{R}\right)$ into components of $\alpha_{R j}\left(\mathbf{r}_{R}\right)$. Define the projection of $\phi_{i}\left(\mathbf{r}_{R}\right)$ on $\alpha_{R j}\left(\mathbf{r}_{R}\right)$ as:

$$
\begin{align*}
\gamma_{j i} & =\int_{V_{R}} \alpha_{R j}^{*}\left(\mathbf{r}_{R}\right) \phi_{i}\left(\mathbf{r}_{R}\right) d^{3} \mathbf{r}_{R} \\
& =\int_{V_{R}} \int_{V_{T}} \alpha_{R j}^{*}\left(\mathbf{r}_{R}\right) G\left(\mathbf{r}_{R}, \mathbf{r}_{T}\right) \alpha_{T i}\left(\mathbf{r}_{T}\right) d^{3} \mathbf{r}_{T} d^{3} \mathbf{r}_{R} \tag{5}
\end{align*}
$$

Then, we have

$$
\begin{equation*}
\phi_{i}\left(\mathbf{r}_{R}\right)=\sum_{j=1}^{N_{R}} \gamma_{j i} \alpha_{R j}\left(\mathbf{r}_{R}\right)+\delta \phi_{i}\left(\mathbf{r}_{R}\right) \tag{6}
\end{equation*}
$$

where $\delta \phi_{i}\left(\mathbf{r}_{R}\right)$ is a residual term orthogonal to all $\alpha_{R j}\left(\mathbf{r}_{R}\right)$.
Take any transmitting signal as

$$
\begin{equation*}
\psi\left(\mathbf{r}_{T}\right)=\sum_{i=1}^{N_{T}} a_{i} \alpha_{T i}\left(\mathbf{r}_{T}\right) \tag{7}
\end{equation*}
$$

The received signal will be

$$
\begin{align*}
\phi\left(\mathbf{r}_{R}\right) & =\sum_{i=1}^{N_{T}} a_{i}\left(\sum_{j=1}^{N_{R}} \gamma_{j i} \alpha_{R j}\left(r_{R}\right)\right)+\delta \phi\left(\mathbf{r}_{R}\right) \\
& =\sum_{j=1}^{N_{R}} b_{j} \alpha_{R j}\left(\mathbf{r}_{R}\right)+\delta \phi\left(\mathbf{r}_{R}\right) \tag{8}
\end{align*}
$$

where $\delta \phi\left(\mathbf{r}_{R}\right)$ is a residual signal orthogonal to all $\alpha_{R j}\left(\mathbf{r}_{R}\right)$. Let $a_{i}$ and $b_{j}$ be the coefficients of the projections of $\psi\left(\mathbf{r}_{T}\right)$ onto $\alpha_{T i}\left(\mathbf{r}_{T}\right)$ and $\phi\left(\mathbf{r}_{R}\right)$ onto $\alpha_{R j}\left(\mathbf{r}_{R}\right)$, respectively. Then, we have

$$
\begin{equation*}
b_{j}=\sum_{i=1}^{N_{T}} a_{i} \gamma_{j i} \quad \text { or } \quad b=\Gamma a \tag{9}
\end{equation*}
$$

If $N_{T}=N_{R} \rightarrow \infty$ and the sets $\left\{\alpha_{T i}\left(\mathbf{r}_{T}\right)\right\}$ and $\left\{\alpha_{R j}\left(\mathbf{r}_{R}\right)\right\}$ are complete, then any transmitting signal can be expressed as in (8) without the residual term $\delta \phi\left(\mathbf{r}_{R}\right)$. In this case, (9) represents the true transfer function of the communications system.

## B. Eigenfunctions

If $r$ is large compared to $V_{T}$ and $V_{R}$, we may approximate $\left|\mathbf{r}_{R}-\mathbf{r}_{T}\right|$ [5] by:
$\left|\mathbf{r}_{R}-\mathbf{r}_{T}\right| \approx r+z_{R}-z_{T}+\frac{\left(x_{R}-x_{T}\right)^{2}+\left(y_{R}-y_{T}\right)^{2}}{2 r}$
This leads to a separation of $\alpha_{i}\left(\mathbf{r}_{T}\right)$ :

$$
\begin{equation*}
\alpha_{T i}\left(\mathbf{r}_{T}\right)=F_{T}\left(\mathbf{r}_{T}\right) \beta_{T i}\left(x_{T}, y_{T}\right) \tag{11}
\end{equation*}
$$

where $\beta_{T i}\left(x_{T}, y_{T}\right)$ are new functions and $F_{T}(\cdot)$ is the socalled focusing function defined by

$$
\begin{equation*}
F_{T}\left(\mathbf{r}_{T}\right)=\exp \left(-\iota k\left(z_{T}-\frac{x_{T}^{2}}{2 r}-\frac{y_{T}^{2}}{2 r}\right)\right) \tag{12}
\end{equation*}
$$

A similar separation may be performed for $\alpha_{R i}\left(\mathbf{r}_{R}\right)$.

## C. Numerical Solutions

We first consider the case where both $V_{T}$ and $V_{R}$ are hyperrectangles with faces parallel to the $x, y, z$ axes. Suppose the dimensions of $V_{T}$ are given by $2 \Delta x_{T}, 2 \Delta y_{T}$ and $2 \Delta z_{T}$, and similarly for $V_{R}$. This case is analysed in [5] and the solution is given as follows:

$$
\begin{equation*}
\beta_{T(m, n)}\left(x_{T}, y_{T}\right)=S_{0 m}\left(c_{x}, \hat{x}_{T}\right) S_{0 n}\left(c_{y}, \hat{y}_{T}\right) \tag{13}
\end{equation*}
$$

for $m, n=0,1, \cdots$, where

$$
\begin{equation*}
c_{x}=\frac{k \Delta x_{T} \Delta x_{R}}{r}, \quad \quad \hat{x}_{T}=\frac{x_{T}}{\Delta x_{T}} \tag{14}
\end{equation*}
$$

and similarly for $c_{y}$ and $\hat{y}_{T}$. and $S_{0 m}(c, \xi)$ is the so-called $(0, m)$ th angular prolate spheroidal function given by

$$
\begin{equation*}
v_{m} S_{0 m}\left(c_{x}, \xi\right)=\int_{-1}^{1} \frac{\sin \left(c\left(\xi-\xi^{\prime}\right)\right)}{\pi\left(\xi-\xi^{\prime}\right)} S_{0 m}\left(c, \xi^{\prime}\right) d \xi^{\prime} \tag{15}
\end{equation*}
$$

where $v_{m}$ are the singular values with $1>\left|v_{1}\right|>\left|v_{2}\right|>$ $\cdots>0$. Only a finite number of $v_{i}$ have non-negligible values. The functions $S_{0 m}(c, \xi)$ are well studied. Computational methods are available in [6] and [7]. The functions $\beta_{R(m, n)}\left(\mathbf{r}_{R}\right)$ can be expressed in a similar fashion to (13).

For the general case where $V_{T}$ and $V_{R}$ take arbitrary shapes, the basis functions $\beta_{T i}\left(x_{T}, y_{T}\right)$ and $\beta_{R i}\left(x_{R}, y_{R}\right)$ are not separable. Although we could return to the original integral equations [5], such an approach is computationally expensive. The following alternate approach is proposed:

1) Project $V_{T}$ onto the $(x, y)$ plane to obtain a surface $S_{T}$. Then take $\bar{S}_{T}$ to be the smallest bounding rectangle containing $S_{T}$, which is parallel to the $(x, y)$ axes. Let $2 \Delta x_{T}$ and $2 \Delta y_{T}$ be the lengths of $\bar{S}_{T}$ in $x$ and $y$ directions, respectively. Repeat for $V_{R}$ and obtain $S_{R}$, $\bar{S}_{R}, 2 \Delta x_{R}$ and $2 \Delta y_{R}$.
2) Compute $\bar{\beta}_{T i}\left(x_{T}, y_{T}\right)$ as in (13) and similarly for $\bar{\beta}_{R i}\left(x_{R}, y_{R}\right)$ using $\bar{S}_{T}$ and $\bar{S}_{R}$.
3) By definition, the set $\bar{\beta}_{T}\left(x_{T}, y_{T}\right)=\left\{\bar{\beta}_{T i}\left(x_{T}, y_{T}\right)\right\}$ is complete in $\overline{S_{T}}$. It is also complete in $S_{T}$ (but not necessarily orthonormal). To see this, consider a function $f\left(x_{T}, y_{T}\right)$ which is zero for all $\left(x_{T}, y_{T}\right)$ outside of $S_{T}$. $f\left(x_{T}, y_{T}\right)$ may be expressed as a linear combination of $\bar{\beta}_{T i}\left(x_{T}, y_{T}\right)$ over $\bar{S}_{T}$ since $\left\{\bar{\beta}_{T i}\left(x_{T}, y_{T}\right)\right\}$ is a complete set in $\bar{S}_{T}$. The same combination still holds over $S_{T}$ because $S_{T} \subset \bar{S}_{T}$. A similar claim holds for $\beta_{R}\left(x_{R}, y_{R}\right)$.
4) Transform the set $\left\{\bar{\beta}_{T i}\left(x_{T}, x_{y}\right)\right\}$ to another set $\left\{\tilde{\beta}_{T i}\left(x_{T}, y_{T}\right)\right\}$ which is complete and orthonormal in $S_{T}$. This may be done, using the Gram-Schmidt process as follows:

$$
\begin{equation*}
\tilde{\beta}_{T 1}\left(x_{T}, y_{T}\right)=\frac{\bar{\beta}_{T 1}\left(x_{T}, y_{T}\right)}{\left\|\bar{\beta}_{T 1}\left(x_{T}, y_{T}\right)\right\|_{S_{T}}} \tag{16}
\end{equation*}
$$

where

$$
\left\|\bar{\beta}_{T 1}\left(x_{T}, y_{T}\right)\right\|_{S_{T}}^{2}=\int_{S_{T}} \bar{\beta}_{T 1}^{*}\left(x_{T}, y_{T}\right) \bar{\beta}_{T 1}\left(x_{T}, y_{T}\right) d x_{T} d y_{T}
$$

Then, for $i=2,3, \cdots, N$ define:

$$
\begin{equation*}
\check{\beta}_{T i} \Leftarrow \bar{\beta}_{T i}-\sum_{j=1}^{i-1} \bar{\beta}_{T j} \cdot\left\langle\bar{\beta}_{T i}, \tilde{\beta}_{T j}\right\rangle \tag{18}
\end{equation*}
$$

If the remaining function $\check{\beta}_{T i}$ is zero, ignore it; otherwise, normalise it (in $S_{T}$ ) and we obtain $\tilde{\beta}_{T i}\left(x_{T}, y_{T}\right)$. Transform the set $\left\{\bar{\beta}_{R i}\left(x_{R}, y_{R}\right)\right\}$ to $\left\{\tilde{\beta}_{R i}\left(x_{R}, y_{R}\right)\right\}$ in a similar way.
5) Define

$$
\begin{equation*}
\tilde{\alpha}_{T i}\left(\mathbf{r}_{T}\right)=F_{T}\left(\mathbf{r}_{T}\right) \tilde{\beta}_{T i}\left(x_{T}, y_{T}\right) \tag{19}
\end{equation*}
$$

and similarly for $\tilde{\alpha}_{R j}\left(\mathbf{r}_{R}\right)$.
6) The transfer coefficient $\gamma_{j i}$ from $\tilde{\psi}_{i}\left(\mathbf{r}_{T}\right)$ in $V_{T}$ to $\tilde{\phi}_{j}\left(\mathbf{r}_{R}\right)$ in $V_{R}$ may now be computed. The approximation (10) gives

$$
\begin{aligned}
G\left(\mathbf{r}_{R}, \mathbf{r}_{T}\right) \approx \frac{\exp (-\iota k r)}{4 \pi r} & F_{T}\left(\mathbf{r}_{T}\right) F_{R}\left(\mathbf{r}_{T}\right) \\
& \cdot \exp \left(\frac{\iota k}{r}\left(x_{R} x_{T}+y_{R} y_{T}\right)\right)
\end{aligned}
$$

It follows that

$$
\begin{align*}
& \gamma_{j i}=\frac{\exp (-\iota k r)}{4 \pi r} \cdot \iint_{V_{R} V_{T}} \tilde{\beta}_{R j}^{*}\left(x_{R}, y_{R}\right) \\
& \quad \cdot \exp \left(\frac{\iota k}{r}\left(x_{R} x_{T}+y_{R} y_{T}\right)\right) \tilde{\beta}_{T i}\left(x_{T}, y_{T}\right) d^{3} \mathbf{r}_{T} d^{3} \mathbf{r}_{R} \tag{20}
\end{align*}
$$

Since the integrand is independent of $z_{T}$ and $z_{R}$, we simplify (20) to:

$$
\begin{align*}
& \gamma_{j i}=\frac{\exp (-\iota k r)}{4 \pi r} \int_{S_{R}} 2 \Delta z_{R}\left(x_{R}, y_{R}\right) \tilde{\beta}_{R j}^{*}\left(x_{R}, y_{R}\right) \\
& \cdot f\left(x_{R}, y_{R}\right) d x_{R} d y_{R}  \tag{21}\\
& f\left(x_{R}, y_{R}\right)=\int_{S_{T}} 2 \Delta z_{T}\left(x_{T}, y_{T}\right) \\
& \quad \cdot \exp \left(\frac{\iota k}{r}\left(x_{R} x_{T}+y_{R} y_{T}\right)\right) \tilde{\beta}_{T i}\left(x_{T}, y_{T}\right) d x_{T} d y_{T} \tag{22}
\end{align*}
$$

where $2 \Delta z_{T}\left(x_{T}, y_{T}\right)$ is the thickness of $V_{T}$ in the $z$ direction at $\left(x_{T}, y_{T}\right)$, and $2 \Delta z_{R}\left(x_{R}, y_{R}\right)$ is similarly defined.

## D. Simplification of Transfer Function

In practice, we only need to compute a finite number of terms of $\gamma_{j i}$, i.e. we obtain a truncated $\Gamma$. This raises the question of whether the numerical solution is sufficiently accurate. The accuracy of the numerical solution may be estimated via the well-known sum rule [5]. If $\left\{\tilde{\psi}_{i}\left(\mathbf{r}_{T}\right)\right\}$ and $\left\{\tilde{\phi}_{j}\left(\mathbf{r}_{R}\right)\right\}$ are complete orthonormal basis functions for $V_{T}$ and $V_{R}$, respectively, then under the assumption that the dimensions of $V_{T}$ and $V_{R}$ are sufficiently small, we have

$$
\begin{equation*}
\sum_{i, j}\left|\gamma_{j i}\right|^{2} \approx \frac{V_{T} V_{R}}{(4 \pi r)^{2}} \tag{23}
\end{equation*}
$$

If $\Gamma$ is truncated, the left-hand side of (23) always reduces. Hence, if (23) holds sufficiently close, we know that the numerical solution is sufficiently accurate. If the sum of $\left|\gamma_{j i}\right|^{2}$ is too small, then we need to compute a larger $\Gamma$, that is, calculate a larger number of basis functions. As a rule of thumb, we may start the size of the truncated $\Gamma$ to be $\bar{N}_{c} \times \bar{N}_{c}$, where $\bar{N}_{c}$ is the number of communicate modes for $\left(\bar{S}_{T}, \bar{S}_{R}\right)$.

In general, $\tilde{\alpha}_{T i}\left(\mathbf{r}_{T}\right)$ and $\tilde{\alpha}_{R j}\left(\mathbf{r}_{R}\right)$ are not the eigenfunctions of $\Gamma$. As such, we may need to calculate more basis functions than there are communication modes, in order to satisfy the sum-rule. In order to obtain a minimal representation of $\Gamma$, a singular value decomposition of $\Gamma$ is performed

$$
\begin{equation*}
\Gamma=U^{*} \Lambda V \tag{24}
\end{equation*}
$$

where $U$ and $V$ are unitary matrices and $\Lambda$ is a diagonal matrix containing the singular values of $\Gamma$ in a descending order.


Fig. 2. Two volume arrangement, $V_{T}$ and $V_{R}$ with reflected volume $V_{R}^{\prime}$

## III. Modelling with Scattering Bodies

Consider the following thought experiment. We place an infinite plane $P_{z}$ placed at a point $z$ between two communicating bodies $V_{T}$ and $V_{R}$ (such as in figure 1) with an arbitrary normal direction $\mathbf{n}$. The plane absorbs all incident energy except for a hole $S(z, \mathbf{n})$ in the middle. We ask how large must this hole be so that communications between the two volumes is not changed?

If $\lambda$ is sufficiently small, then $S(z, \mathbf{n})$ is the minimum area containing all the intersection points of $P_{z}$ and $\ell\left(\mathbf{r}_{T}, \mathbf{r}_{R}\right)$, where $\ell\left(\mathbf{r}_{T}, \mathbf{r}_{R}\right)$ is the line linking $\mathbf{r}_{T} \in V_{T}$ and $\mathbf{r}_{R} \in V_{R}$. If $\lambda$ is not sufficiently small, $S(z, \mathbf{n})$ needs to be enlarged by several wavelengths in each direction so that diffractions on the edges will be negligible.

We will call $S(z, \mathbf{n})$ the viewing area (with respect to $P_{z}$ ).

## A. Single Scatterer

Consider now, a single scatterer $S$ between two volumes, as depicted in figure 2 . We assume that $S$ is a purely reflective plane, i.e., there is no penetration of electric field. The reflection angle $\theta_{r}$ is the same as the incident angle $\theta_{i}$, but the reflective electric field involves some possible loss which is represented by:
$\eta\left(\theta_{i}\right)=\exp \left\{\left(\frac{\pi}{\lambda} \sigma_{h} \cos \theta_{i}\right)^{2}\right\} J_{0}\left\{8\left(\frac{\pi}{\lambda} \sigma_{h} \cos \theta_{i}\right)^{2}\right\}$
where $\sigma_{h}$ is the standard deviation of the surface height, and $J_{0}(\cdot)$ is the modified Bessel function of order zero [8]. For a perfectly flat plane, $\sigma_{h}=0$ and $\eta\left(\theta_{i}\right)=1$.

The normal angle $\mathbf{n}$ of the scatterer needs to be such that the reflected signals are directed at $V_{R}$ so that signal transmission from $V_{T}$ to $V_{R}$ is possible. To determine the reflected electrical field at $V_{R}$, we mirror-image $V_{R}$ with respect to the scatterer (as shown by $V_{R}^{\prime}$ in figure 2). The scatterer may be viewed as the "hole" through which communications between $V_{T}$ and mirror-imaged $V_{R}$ takes place. The area of $S$ must
be larger than the corresponding viewing area between $V_{T}$ and $V_{R}^{\prime}$ in order for $V_{T}$ to fully communicate with $V_{R}$. We will call this viewing area a reflective viewing area. Once this condition holds, the transfer function between $V_{T}$ and $V_{R}$ may be computed using the result of section II-C, with the following modifications:
(i) the propagation distance increases to $r_{s}=r_{1}+r_{2}$;
(ii) $V_{R}^{\prime}$ (not $V_{R}$ ) is used for computing the singular values and eigenfunctions of the channel model;
(iii) the computed eigenfunctions for $V_{R}^{\prime}$ must be mirrorimaged back so they become eigenfunctions for $V_{R}$.
Note that the same result can be obtained by mirrorimaging $V_{T}$ due to the symmetry between transmission and reception.

## B. Multiple Scatterers

Each scatterer $S_{(k)}, k=1, \cdots, K$ provides a communications path. The transfer function $\Gamma^{(k)}$ for each path can be calculated using the method above. We may not simply sum the individual $\Gamma^{(k)}$, however, to obtain the overall transfer function $\Gamma$ as each $\Gamma^{(k)}$ uses different eigenfunctions.

To obtain the correct $\Gamma$, we denote by $\left\{\alpha_{T i}^{(k)}\left(\mathbf{r}_{T}\right)\right\}$ and $\left\{\alpha_{R i}^{(k)}\left(\mathbf{r}_{R}\right)\right\}$ the two sets of eigenfunctions corresponding to $\Gamma^{(k)}$ and let the dimension of $\Gamma^{(k)}$ be $N_{c}^{(k)} \times N_{c}^{(k)}$. Then we have the following mapping:

$$
\begin{equation*}
\left\{\alpha_{T i}^{(k)}\left(\mathbf{r}_{T}\right)\right\} \stackrel{\Gamma^{(k)}}{\longmapsto}\left\{\alpha_{R i}^{(k)}\left(\mathbf{r}_{R}\right)\right\} \tag{26}
\end{equation*}
$$

Let $\left\{\Psi_{i}\left(\mathbf{r}_{T}\right)\right\}$ and $\left\{\Phi_{i}\left(\mathbf{r}_{R}\right)\right\}$ be any sets of complete, orthonormal basis functions for $V_{T}$ and $V_{R}$, respectively. We can project each $\alpha_{T i}^{(k)}\left(\mathbf{r}_{T}\right)$ onto $\Psi_{j}\left(\mathbf{r}_{T}\right), j=1,2 \cdots$ to obtain the following:

$$
\begin{equation*}
\alpha_{T i}^{(k)}\left(\mathbf{r}_{T}\right)=\sum_{j=1}^{\infty} \gamma_{i j}^{(k)} \Psi_{j}\left(\mathbf{r}_{T}\right) \tag{27}
\end{equation*}
$$

where $\gamma_{i j}^{(k)}$ are projection coefficients. In vector form:

$$
\begin{equation*}
\alpha_{T}^{(k)}\left(\mathbf{r}_{T}\right)=\Gamma_{\psi}^{(k)} \Psi\left(\mathbf{r}_{T}\right) \tag{28}
\end{equation*}
$$

A similar projection can be obtained on the receiver side:

$$
\begin{equation*}
\Phi\left(\mathbf{r}_{R}\right)=\Gamma_{\phi}^{(k)} \alpha_{R}^{(k)}\left(\mathbf{r}_{R}\right)+\delta \alpha_{R}^{(k)}\left(\mathbf{r}_{R}\right) \tag{29}
\end{equation*}
$$

where $\delta \alpha_{R}^{(k)}\left(\mathbf{r}_{R}\right)$ is the residual term orthogonal to all $\alpha_{R j}^{(k)}\left(\mathbf{r}_{R}\right)$. With the projections of (28) and (29) the overall transfer function is given by

$$
\begin{equation*}
\Gamma=\sum_{k=1}^{K} \Gamma_{\phi}^{(k)} \Gamma^{(k)} \Gamma_{\psi}^{(k)} \tag{30}
\end{equation*}
$$

As there is no sum-rule in this case, large sizes for $\Gamma_{\phi}^{(k)}$ and $\Gamma_{\psi}^{(k)}$ may be required to ensure numerical accuracy.


Fig. 3. Squared singular values of transfer
The growth in channel capacity can be explained intuitively as follows. Assume that the scatterers are local to either $V_{T}$ or $V_{R}$. The strengths of different paths are similar, but their eigenfunctions may be very different: For different scatterers the effective propagation distances are roughly the same. However, different mirror-imaged bodies are obtained and used for computing the eigenfunctions. Since mirror-imaging does not diminish the volume, the connection strengths are not decreased.

Each new scatterer provides a new pair of basis function sets. The components of the sets which are orthogonal to existing eigenfunctions will allow new modes of communications. The remaining components will contribute to the gain. The so-called "rich" scattering is observed where scatterers introduce new communication modes.

## IV. SimUlation

We compared a direct (non-scattering) transmission with various scattering environments. We considered a 3 GHz , transmission frequency, giving $\lambda=0.1 \mathrm{~m}$. The volumes $V_{T}$ and $V_{R}$ were hyper-rectangles. $V_{T}$ had side-lengths of $15 \lambda \times 9 \lambda \times 9 \lambda$ and $V_{R}$ had side-lengths of $18 \lambda \times 9 \lambda \times 9 \lambda$. The volumes were separated by $r=10 \mathrm{~m}$. For this arrangement the number of communications modes for direct transmission (1) is $N_{c} \approx 2.6$. We have used [9] for the numerical computation of (13). Scatterers were modelled as planes with a gain $\eta=1$. Each scatterer was placed in a random location, between $V_{T}$ and $V_{R}$, with a random orientation.

Figure 3 shows the squared singular values for the different cases. Direct transmission is shown solid. The singular values $\hat{\sigma}_{k}$ can be seen to drop sharply beyond $k=3$. Using the same arrangement, we introduced scattering, in both a non-line of sight and line-of-sight case.

The non-line-of-sight (NLOS) transmission is shown by the solid line, with squares. In this situation, transmission was possible only via the reflective scatterers. We used $K=20$ scatterers. As can be seen, scattering has provided approximately double the number of equal-strength communications modes than were available in the direct transmission case.

This case may be compared with the single scatterer channel, shown by the asterisk line where a scatterer was placed randomly between $V_{T}$ and $V_{R}$. As can be seen the channel gain in this case is significantly reduced.

The line-of-sight case (LOS) is shown by the circled line. Here communication occurred via both scattering ( $K=20$ ) and direct transmission. Comparing with NLOS, we see a significant improvement in the number of effective communication modes and their strengths as expected.

## V. Conclusions

Connection strengths of continuous transmission modes between volumes provide an upper bound on MIMO channel capacity. We have presented a modelling approach for computing the connection strengths between two arbitrary volumes, both for direct (point-to-point) and for scattering environments. We have shown that scatterers may improve wireless communications by providing new communication modes and thus increasing $N_{c}$. This is the well known "rich scattering" case. Scatterers may also increase the gain of already available modes such as when LOS components are present. The continuous modelling approach frees us from unrealistic results of considering many antennas in small volumes and provides a mechanism for considering free space as a continuous, not discrete, medium.

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