

ELEC4410

## Control System Design

*Lecture 4: Affine Parameterisation. PID Revisited, Time Delays and Undesirable Closed Loop Poles*

School of Electrical Engineering and Computer Science  
The University of Newcastle



# Outline

- ▶ Revisit PID design using the affine parametrisation.



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- ▶ Control of time delayed plants using the affine parametrisation. (Also showing the connections with the Smith controller.)



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- ▶ Control of time delayed plants using the affine parametrisation. (Also showing the connections with the Smith controller.)
- ▶ Use of interpolation constraints to remove undesirable open loop poles.

**Reference:** Control System Design, Goodwin, Graebe & Salgado.



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- ▶ We then choose

$$G_o^i(s) = (G_o(s))^{-1} = \frac{v_o s + 1}{K_o}$$





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- ▶ This implies that our final choice for  $Q(s)$  is of the form:

$$Q(s) = F_Q(s)G_o^i(s) = \frac{v_o s + 1}{K_o (\alpha s + 1)}$$

and the controller becomes

$$C(s) = \frac{Q(s)}{1 - Q(s)G_o(s)} = \frac{v_o s + 1}{K_o \alpha s} = \frac{v_o}{K_o \alpha} + \frac{1}{K_o \alpha s}$$

which is a PI controller.



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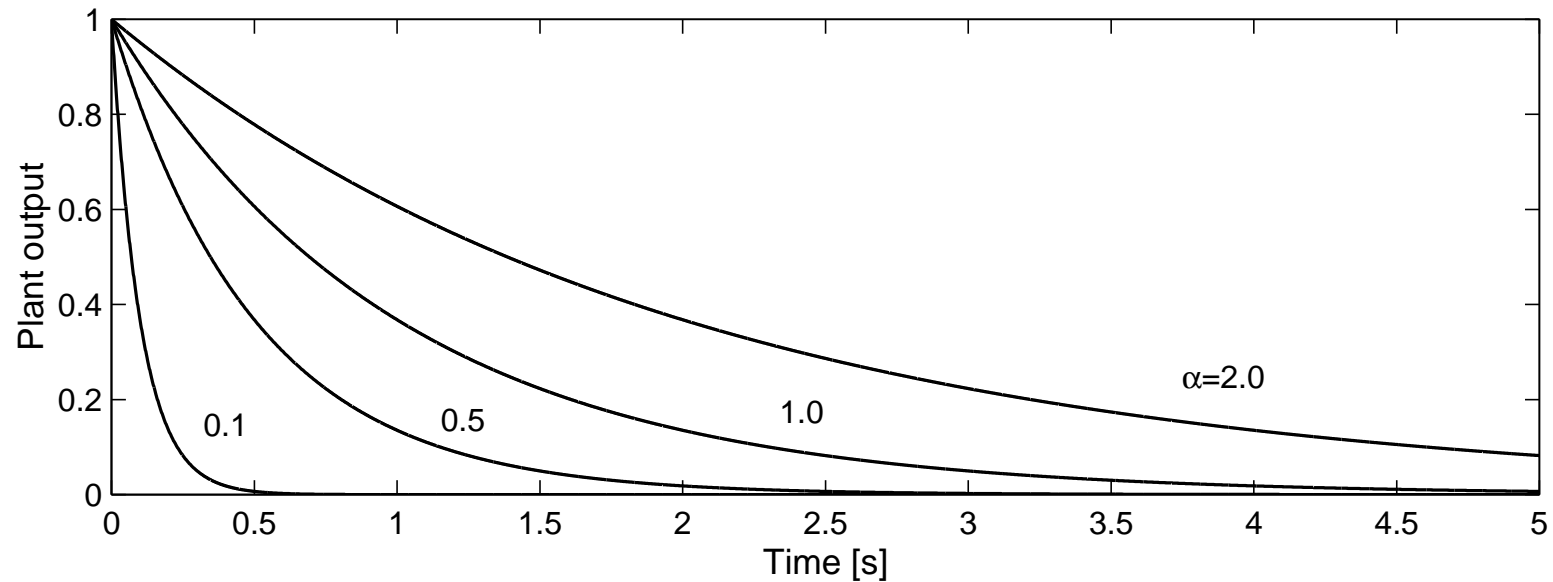
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- ▶ Choosing  $\alpha$  smaller makes the loop faster, whereas a larger value for  $\alpha$  slows the loop down.
- ▶ We thus see a direct connection between the design variable  $\alpha$  and the final closed loop performance.
- ▶ This is one of the principal advantages of the affine parametrisation methodology.



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## Effect of $\alpha$ on output disturbance rejection



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- ▶ Inserting a first order model into the affine structure automatically generates a PI controller.
- ▶ Inserting a second order model into the Q-structure automatically generates a PID controller.



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- ▶ The first and second order models are easily obtained from step response models.
- ▶ Using this method, the control engineer works directly in terms of observable process properties (rise time, gain, etc.) and closed loop parameters providing an insightful basis for making trade-off decisions. The PI(D) parameters follow automatically.
- ▶ The approach does not preempt the design choice of cancelling or shifting the open-loop poles - both are possible and associated with different trade-offs.



# Affine Parametrisation for Systems having Time Delays

- ▶ The first method we consider is to replace the time delay with it's Pade approximation given by:

$$e^{-s\tau_0} \approx \frac{2 - s\tau_0}{2 + s\tau_0}$$





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- ▶ The Pade approximation usually works well if the time delay is smaller than the dominant time constant of the plant.
- ▶ For the case where the time delay is comparable or larger than the dominant time constant the Smith controller should be considered.



# Affine Parametrisation for Systems having Time Delays

- ▶ We consider here a special class of linear systems, namely those that be written as

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- ▶ This idea was introduced by Otto Smith in the 1950's.



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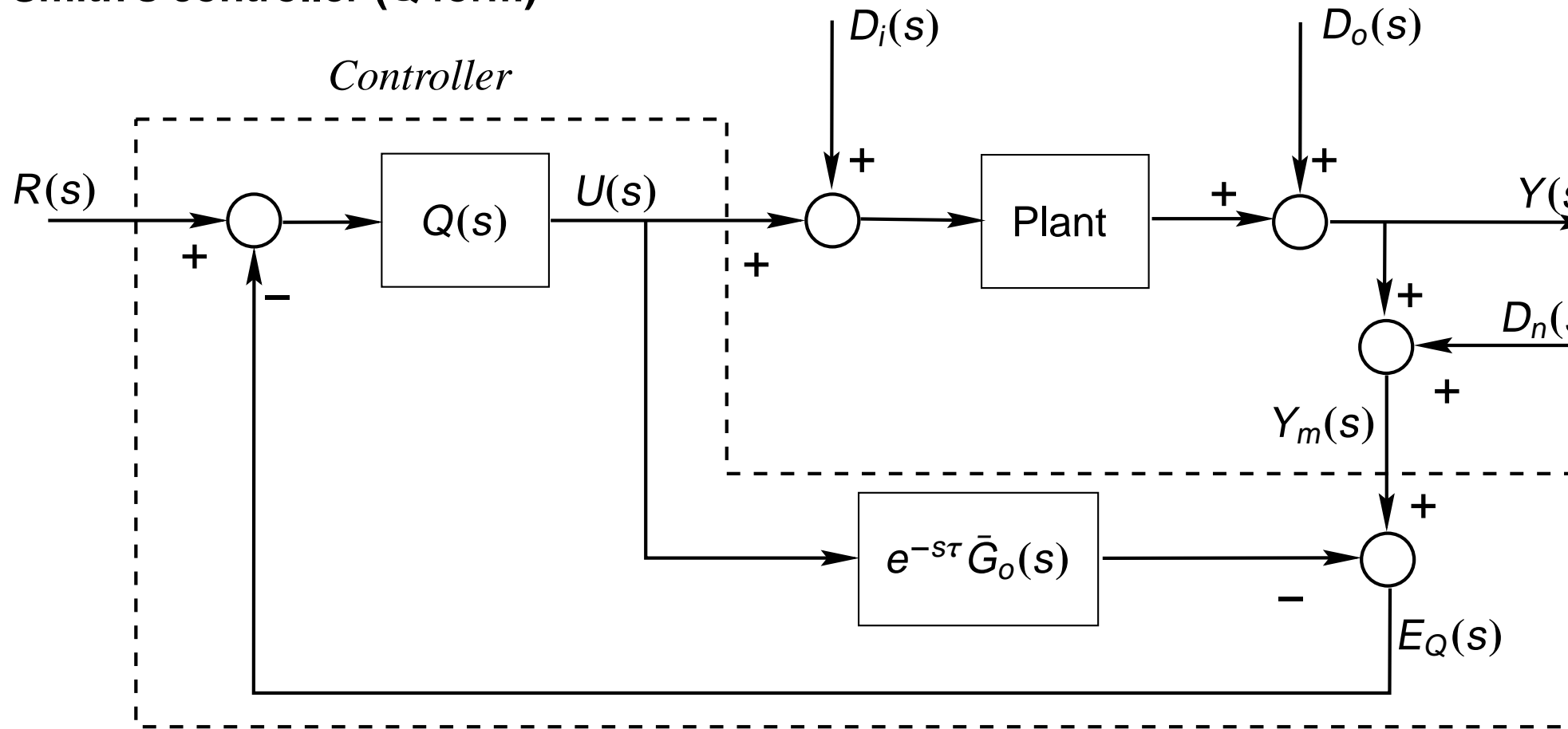
# Affine Parametrisation for Systems having Time Delays

- ▶ Smith's controller is based upon two key ideas:
  - ▶ Affine synthesis and,
  - ▶ the recognition that delay characteristics cannot be inverted.
- ▶ The structure of the traditional Smith controller can be obtained from the scheme shown on the next slide, which is a particular case of the general scheme for affine parameterisation given earlier.



# Affine Parametrisation for Systems having Time Delays

## Smith's controller (Q form)

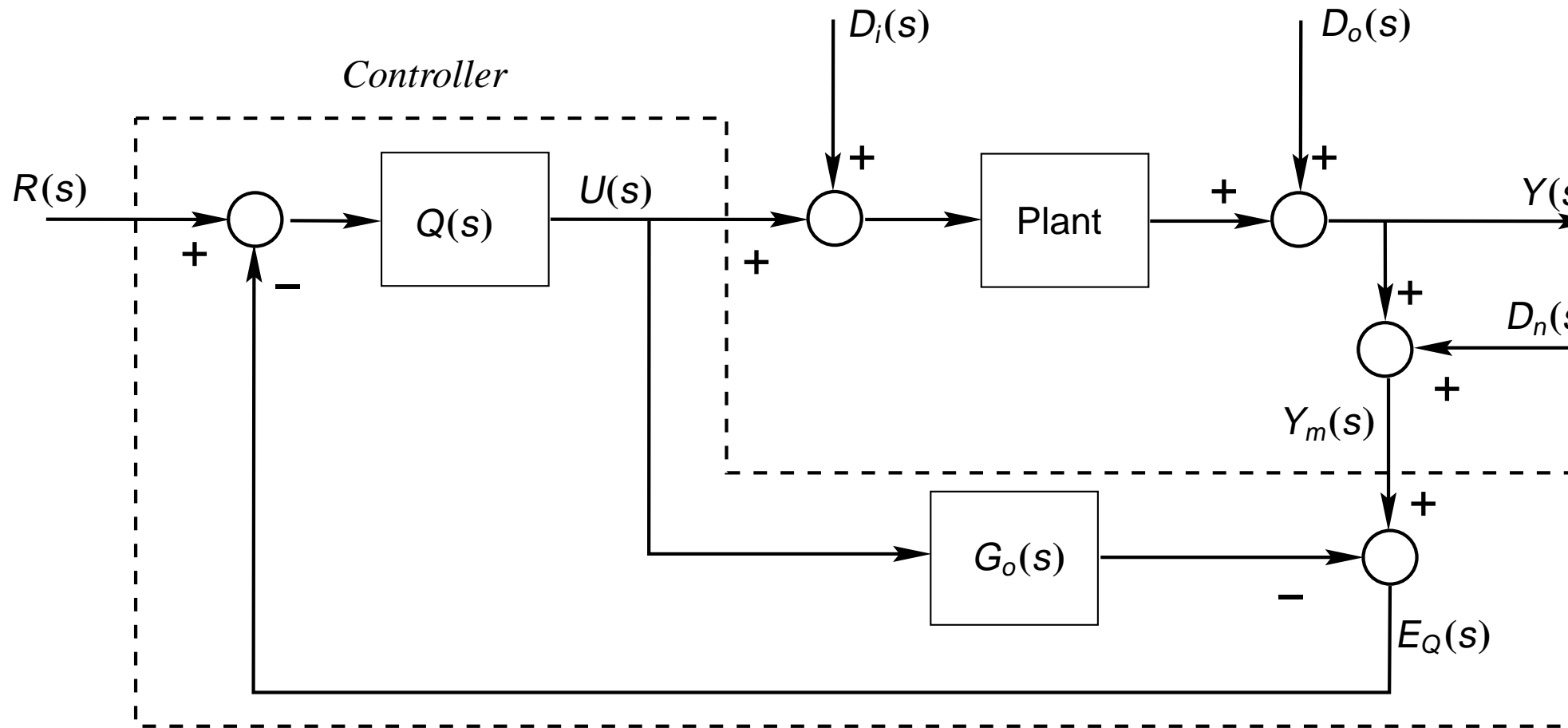


Using earlier results we know the above configuration describes all stabilising controllers. All we need do is choose  $Q(s)$  to be a stable proper transfer function.



# Affine Parametrisation for Systems having Time Delays

Youla's parametrisation of all stabilising controllers for stable plants



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$$T_o(s) = e^{-s\tau} \overline{G}_o(s) Q(s)$$

- ▶ This suggests that  $Q(s)$  can be designed considering only the rational part of the model,  $\overline{G}_o(s)$ .



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- ▶ In particular, we need an approximate (stable, causal and proper) inverse for  $G_o(s) = e^{-s\tau} \overline{G}_o(s)$ .
- ▶ Since the delay has no causal inverse, we seek an approximate inverse for  $\overline{G}_o(s)$ .
- ▶ This can be achieved directly. Alternatively, one can use the idea of feedback to generate a stable inverse. Thus we might conceive of evaluating  $Q(s)$  by

$$Q(s) = \frac{C(s)}{1 + C(s)\overline{G}_o(s)}$$



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- ▶ If we use the above idea to choose  $Q(s)$ ; i.e. put

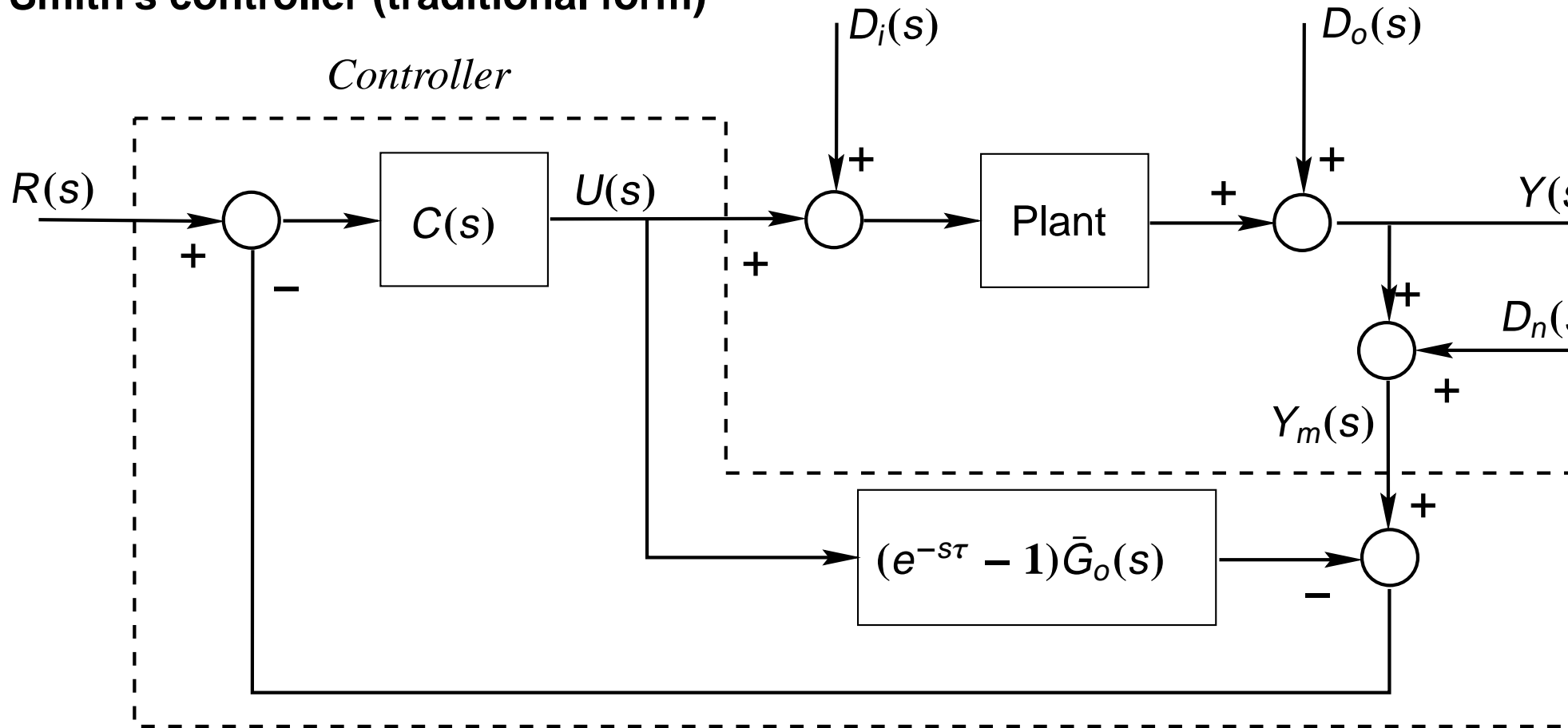
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then we can redraw the controller as on the next slide.



# Affine Parametrisation for Systems having Time Delays

## Smith's controller (traditional form)



In this form, we see that the design of  $C(s)$  can essentially be based on the nondelayed model. This is precisely the form of the traditional Smith controller.



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- ▶ This meant that all we needed to do was to choose  $Q(s)$  to ensure closed loop stability.
- ▶ We next examine a methodology, using affine parameterisation, to remove undesirable closed loop poles.



# Undesirable Closed Loop Poles

- ▶ The idea of the  $Q(s)$  parametrisation remains valid since

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- ▶ We also recall the following expressions for the sensitivity functions

$$T_o(s) = Q(s)G_o(s)$$

$$S_o(s) = 1 - Q(s)G_o(s)$$

$$S_{i_o}(s) = (1 - Q(s)G_o(s)) G_o(s)$$

$$S_{u_o}(s) = Q(s)$$



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- ▶ For example, a lightly damped resonant pair might well be stable but is probably undesirable.
- ▶ Say the open loop plant contains some undesirable (including unstable) poles. The only way to remove poles from the complementary sensitivity is to choose  $Q(s)$  to contain these poles as zeros.





## *Undesirable Closed Loop Poles*

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- ▶ The above statements represent a set of additional constraints on  $Q(s)$  to ensure closed loop stability.
- ▶ The result is summarised in the following Lemma:



# Undesirable Closed Loop Poles

**Lemma.** (*Interpolation constraints to avoid undesirable poles*). Consider a nominal feedback control loop with one d.o.f. and assume  $G_o(s)$  contains undesirable (including unstable) open loop poles. We then have

1. Each of the sensitivity functions  $T_o(s)$ ,  $S_o(s)$ ,  $S_{io}(s)$  and  $S_{uo}(s)$  will have no undesirable poles if and only if: when the controller  $C(s)$  is expressed as:

$$Q(s) = \frac{C(s)}{1 + G_o(s)C(s)}.$$

Then  $Q(s)$  must satisfy the following (so called) Interpolation constraints:

- (a)  $Q(s)$  is proper, stable and has only desirable poles.
- (b) Any undesirable poles of  $G_o(s)$  are zeros of  $Q(s)$  with, at least, the same multiplicity as  $G_o(s)$ .
- (c) Any undesirable poles of  $G_o(s)$  are zeros of  $1 - Q(s)G_o(s)$ , with at least the same multiplicity as  $G_o(s)$ .



# Undesirable Closed Loop Poles

**Lemma.** (cont.)

2. When conditions (b) and (c) are satisfied, then all resultant unstable pole-zero cancellations in  $C(s)$  should be performed analytically prior to implementation.



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- ▶ In chemical processes, however, disturbances are frequently better modelled as occurring at the input to the system.
- ▶ We then recall that, the input disturbance response  $Y_d(s)$  is given by

$$Y_d(s) = S_{io}(s)D_i(s)$$

$$S_{io}(s) = S_o(s)G_o(s)$$



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## **PID Design Revisited**

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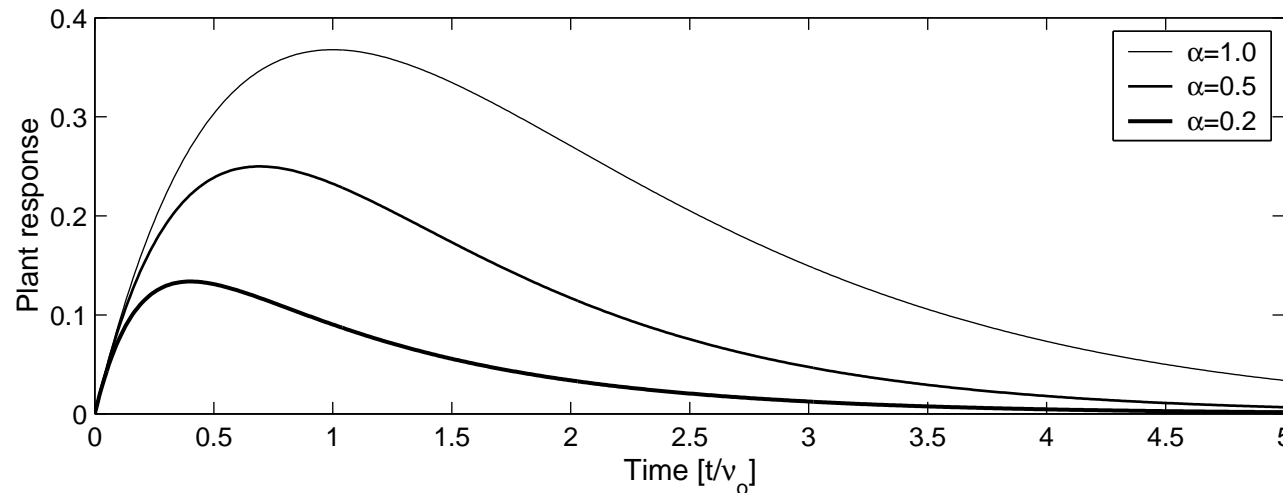
## PID Design Revisited

- ▶ Hence, when any plant pole is cancelled in the controller, it remains controllable from the input disturbance, and is still observable at the output.
- ▶ Thus the transient component in the input disturbance response will have a mode associated with that pole.
- ▶ The following slide shows the input disturbance response for the PI controller designed earlier via the affine parametrisation.



# Undesirable Closed Loop Poles

Input disturbance rejection with plant pole cancellation, for different values of  $\alpha$ .



- ▶ Note that changing  $\alpha$  changes the magnitude of the response but the slow transient remains since this is dominated by the open loop plant as is evident from:

$$Y_d(s) = S_{i0}(s)D_i(s)$$

$$S_{i0}(s) = S_o(s)G_o(s)$$



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- ▶ The origin of this problem is the cancellation of a pole in  $G_o(s)$  with a zero in  $C(s)$ .
- ▶ As shown earlier, the only way to remove the pole from  $S_{io}(s)$  is to choose  $F_Q(s)$  in such a way that the offending pole is a zero of  $S_o(s) = 1 - Q(s)G_o(s)$ , i.e. we require:

$$S_o(-a) = 0 \implies T_o(-a) = F_Q(-a) = 1$$

where  $a \triangleq \frac{1}{v_o}$



## Undesirable Closed Loop Poles

**Lemma.** Consider the plant model and Youla's parametrisation of all stabilising controllers for stable plants where  $Q(s) = (G_o(s))^{-1} F_Q(s)$ . Then a PI controller which does not cancel the plant pole, is obtained as

$$C(s) = K_P + \frac{K_I}{s}$$

where

$$K_P = \frac{2\psi_{cl}\omega_{cl}V_o - 1}{K_o}$$

$$K_I = \frac{V_o\omega_{cl}^2}{K_o}$$

and where  $\psi_{cl}$  and  $\omega_{cl}$  are chosen to obtain a closed loop characteristic polynomial given by:

$$A_{cl}(s) = \left(\frac{s}{\omega_{cl}}\right)^2 + 2\psi_{cl}\left(\frac{s}{\omega_{cl}}\right) + 1$$



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- ▶ The proof of the above result is given in the book Control System Design (Goodwin et. al.).
- ▶ It suffices to say that the key idea is to ensure that the *slow* open loop pole at  $\alpha = \frac{1}{v_o}$  is cancelled in the transfer function  $S_o(s) = 1 - G_o(s)Q(s)$ ; i.e.

$$S_o(-a) = 0 \implies T_o(-a) = F_Q(-a) = 1$$

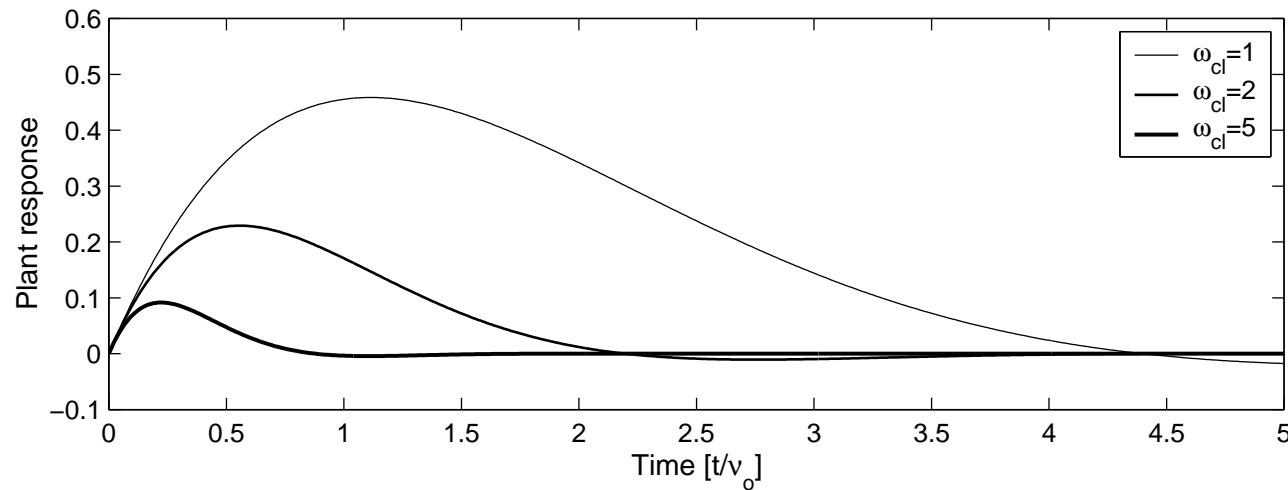
where  $a \triangleq \frac{1}{v_o}$



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- ▶ We repeat the simulation presented earlier where  $\alpha = \frac{1}{v_o}$  remained in the input disturbance rejection response.

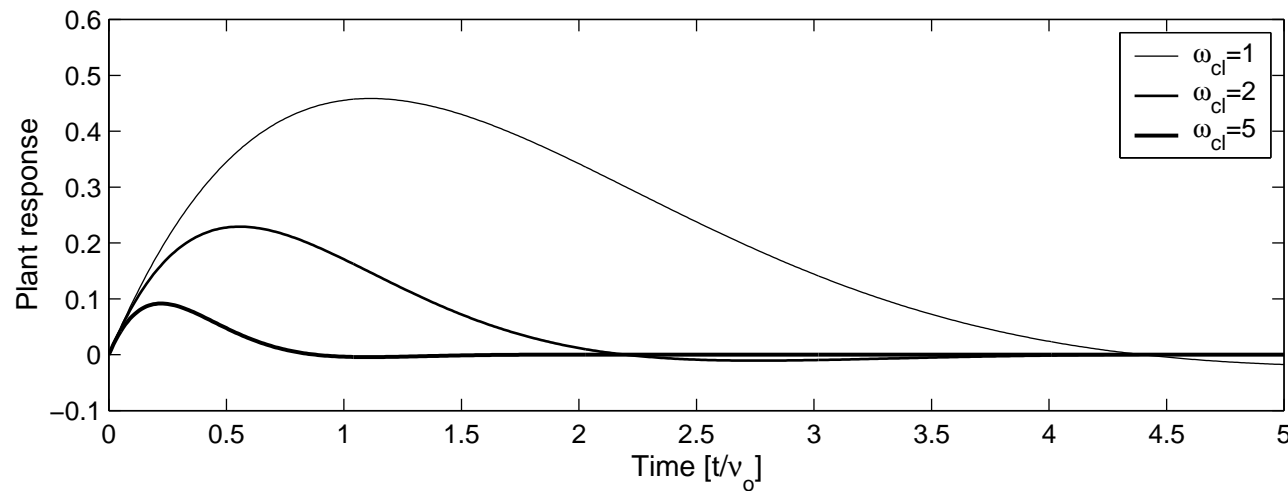
**Input disturbance rejection without plant pole cancellation.**



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**Input disturbance rejection without plant pole cancellation.**



- ▶ We see now that changing the design variable  $\alpha$  not only changes the size of the response but it also changes the nature of the transient.



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- ▶ In the examples given above we went to some trouble to ensure that the poles of all closed loop sensitivity functions (*especially the input disturbance sensitivity,  $S_{i_o}(s)$* ) lay in desirable regions of the complex plane.



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- ▶ We found that extra interpolation constraints on  $Q(s)$  were needed to eliminate undesirable poles from the input sensitivity  $S_{i_o}(s)$ .
- ▶ In the design examples presented to date we have chosen  $Q(s)$  to explicitly account for these interpolation constraints.
- ▶ However, this is a tedious task and one is lead to ask the following question: Can we reparameterise  $C(s)$  in such a way that the interpolation constraints given in the Lemma are automatically satisfied?



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- ▶ An early controller conceived to deal with the non-invertibility of delays is the famous Smith-predictor.
- ▶ The trade-offs made in the Smith-predictor can be nicely analysed in the affine structure. Indeed, the structures are very similar. Caution should be exercised, however, not to confuse the generic controller representation of the affine parametrisation with the particular synthesis technique of the Smith-predictor.

